IRB004A Request for Screening for Exemption

Proposal ID No.:_____
(Assigned by IRB)

Gratz College

Request for IRB Screening for Exemption (IRB004A)

Date submitted:
Title of Research Proposal:

Principal Investigator	Faculty Advisor
Name:	Name:
Address:	School:
Email:	Email:

SECTION I: CATEGORY OF EXEMPTION

Directions: Research may be exempt from IRB review if the involvement of human subjects falls within one or more of the following six categories. Please highlight or check all that apply:

□Category 1: Research conducted in established or commonly accepted educational settings involving normal education practices such as regular and special education instructional strategies, or the effectiveness of or comparison among instructional techniques, curricula, or classroom management methods.

□Category 2: Research involving use of educational tests (cognitive, diagnostic, aptitude, or achievement), survey procedures, interview procedures, or observation of public behavior provided that:

a. information obtained is recorded in such a manner that human subjects cannot be identified directly or through identifiers linked to the subjects

b. there is no disclosure of the responses of human subjects outside of the research that might reasonably place them at risk for criminal or civil liability or be damaging to their financial standing, employability, or reputation.
c. the research does not involve children and the use of surveys, interviews, or observations of their public behavior in situations where the investigator is a participant in the activities being observed.

□Category 3: Research involving the use of educational tests (cognitive, diagnostic, aptitude, or achievement), survey procedures, or observations of public behavior not exempt under Category 2 if:

a. human subjects are elected or appointed officials or candidates for public office; or
b. federal statutes require without exception that the confidentiality of the personally identifiable information be maintained throughout the research and thereafter.

□Category 4: Research and demonstration projects that are conducted by or subject to the approval of department or agency heads, and that are designed to study, evaluate, or otherwise examine:

a. public benefit or service programs
b. procedures for obtaining benefits or services under these programs
c. possible changes in or alternatives to those programs or procedures
d. possible changes in methods or levels of payment for benefits or services under those programs

This exemption cannot be made if prior review is specifically required by statute or if the Secretary of HHS determines that a research or demonstration project presents a danger to the physical, mental, or emotional well-being of a subject. The standard requirement for informed consent may be waived if the research could not practically be carried out without the waiver and certain other HHS requirements are met and documented.

Decisions about exemption may not be made by the investigator. No involvement of human subjects may occur prior to notification of IRB approval.

SIGNATURES REQUIRED:

Signature of Principal Investigator:
Date:

Signature of Program Director (if student proposal):
Date: